

JUL 07 2004

**OFFICIAL**PATENT  
Attorney Docket No. WMI-004CN3  
(8415/10)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Dakss, et al.  
SERIAL NO.: 09/697,483 GROUP NO.: 2613  
FILING DATE: October 26, 2000 EXAMINER: Not Yet Assigned  
TITLE: A METHOD AND APPARATUS FOR ENCODING VIDEO  
HYPERLINK

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications are enclosed.

**REMARKS**

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the first Office action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a final action or a notice of allowance under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below; OR
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

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- ☐ (3) after the mailing date of a final action or notice of allowance but before the payment of the issue fee, AND
- ☐ the requisite Statement is below, AND
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely \$180.00 is included herein.

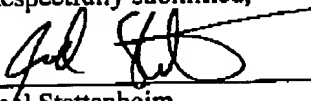
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

#### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

  
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FORM PTO - 1449 INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: WMI-004CN3 APPLICANTS: Dakss et al. SERIAL NO.: 09/697,483 FILING DATE: October 26, 2000 GROUP: 2613			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	4,743,959 ✓	05/10/88	Frederiksen	358	11	09/17/86
	A2	4,903,317 ✓	02/20/90	Nishihara et al.	382	56	06/23/87
	A3	5,008,745 ✓	04/16/91	Willoughby	358	105	12/21/89
	A4	5,101,280 ✓	03/31/92	Moronaga et al.	358	426	05/17/90
	A5	5,124,811 ✓	06/23/92	Ohsawa et al.	358	448	08/25/89
	A6	5,130,792 ✓	07/14/92	Tindell et al.	358	85	02/01/90
	A7	5,499,050 ✓	03/12/96	Baldes et al.	348	180	11/01/93
	A8	5,640,601	06/17/97	Peters	395	854	01/22/98
	A9	5,754,233 ✓	05/19/98	Takashima	348	390	08/30/98
	A10	5,754,250	05/19/98	Cooper	348	525	12/04/95
	A11	5,757,968 ✓	05/26/98	Ando	382	236	09/27/95
	A12	5,768,431 ✓	06/16/98	Saunders et al.	382	236	09/19/96
	A13	5,771,073 ✓	06/23/98	Lim	348	390	06/07/95
	A14	5,790,269	08/04/98	Masaki et al.	358	447	12/12/95
	A15	5,832,121 ✓	11/03/98	Ando	382	236	07/24/97
	A16	5,835,146 ✓	11/10/98	Stone	348	416	09/19/96
	A17	5,845,083 ✓	12/01/98	Hamadani et al.	395	200.61	03/07/96
	A18	5,848,352	12/08/98	Dougherty et al.	455	5.1	04/26/95
	A19	5,883,975 ✓	03/16/99	Narita et al.	382	232	09/11/95
	A20	5,933,532 ✓	08/03/99	Mihara	382	232	08/26/97
	A21	5,969,715	10/19/99	Dougherty et al.	345	327	07/06/98
	A22	5,978,029 ✓	11/02/99	Boice et al.	348	412	01/16/98
	A23	6,008,857 ✓	12/28/99	Keery et al.	348	469	07/29/97
	A24	6,009,229 ✓	12/28/99	Kawamura	388	68	07/26/96
	A25	6,014,693 ✓	01/11/00	Ito et al.	709	219	09/12/96
	A26	6,081,299 ✓	06/27/00	Kesselring	348	512	02/20/98
	A27	6,084,912 ✓	07/04/00	Reitmeier et al.	375	240	06/27/97
	A28	6,204,843 B1	03/20/01	Freeman et al.	345	327	10/28/99

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<b>FORM PTO - 1449</b>				<b>ATTORNEY DOCKET NO.: WMI-004CN3</b>					
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				<b>SERIAL NO.: 09/697,483</b>					
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	A29	6,215,484 B1	04/10/01	Freeman et al.	345	327	10/28/99		
	A30	6,223,211	04/24/01	Hamilton et al.	709	203	09/09/99		
	A31	6,239,815 ✓	05/29/01	Frink et al.	345	502	04/03/98		
<b>FOREIGN PATENT DOCUMENTS</b>									
EX AM. INIT		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLAS S	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLIS H LANG (Y/N)
	B1	0 498 511 A1 ✓	08/12/92	EP	H04N	1/41	02/07/92	N	Y
	B2	1 052 854 A1	11/15/00	EP	H04N	7/173	05/08/00	N	Y
	B3	1 098 532 A2 ✓	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B4	1 098 533 A2 ✓	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B5	1 098 534 A2 ✓	05/09/01	EP	H04N	7/58	05/17/96	N	Y
	B6	0 717 0410 ✓	07/04/95	JP	H04N	1/41	12/15/93	Y	Y
	B7	1 102 7540 ✓	01/29/99	JP	H04N	1/419	07/04/97	Y	Y
	B8	5 512 4361 ✓	09/25/80	JP	H04N	1/41	03/19/79	Y	Y
	B9	98/47084 ✓	10/22/98	WO	G06F	17/30	04/16/98	N	Y
	B10	99/49658	09/30/99	WO	H04N	5/445	01/27/99	N	Y
	B11	99/52075 ✓	10/14/99	WO	G06T	11/00	03/29/99	N	Y
	B12	99/52282 ✓	10/14/99	WO	H04N	7/08 9/083	04/01/99	N	Y
	B13	99/52290 ✓	10/14/99	WO	H04N	7/24	03/30/99	N	Y
	B14	99/52294 ✓	10/14/99	WO	H04N	7/26 11/04	03/30/99	N	Y
	B15	99/52296 ✓	10/14/99	WO	H04N	7/50	04/02/99	N	Y
	B16	00/21207 ✓	04/13/00	WO	H04B	1/66	10/04/99	N	Y
	B17	00/18136 ✓	03/30/00	WO	H04N	7/50 7/26 7/68	09/20/99	N	Y
	B18	00/16544 A1	03/23/00	WO	H04N		12/16/98	N	Y
	B19	01/17257 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
	B20	01/17259 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y

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<b>FORM PTO - 1449</b>					<b>ATTORNEY DOCKET NO.: WMI-004CN3</b>				
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	B21	01/17260 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
	B22	01/17261 A1	03/08/01	WO	H04N	7/173	08/28/00	N	Y
	B23	01/18676 A1	03/15/01	WO	G06F	17/00	09/02/00	N	Y
<b>OTHER ART, JOURNAL ARTICLES, ETC.</b>									
<b>EXAM. INIT.</b>	<b>OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)</b>								
	C1	Bove et al., "Adding Hyperlinks to Digital Television", pgs. 795-801, SMPTE Journal, SMPTE Inc. Scarsdale, N.Y., US, Vol. 108, No. 11, November 1999							
	C2	PCT International Search Report for International Application No.: PCT/US0106411, mailed on December 13, 2001							
	C3	Nakanishi, "An Image Reduction Method Using MR Codes", pgs. 1-10, Systems and Computer in Japan. Vol. 28, No. 7, 1997							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>				

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